

FORM PTO-1390 (REV 11-98)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 3687-2
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 09/445,218	
INTERNATIONAL APPLICATION NO. PCT/EP98/03496	INTERNATIONAL FILING DATE 4 June 1998	PRIORITY DATE CLAIMED 5 June 1997	
TITLE OF INVENTION <b>DIPHENYL-TRIAZOLE DERIVATIVES AND THEIR USE AS ANTI-GESTATIVE, IMMUNO-SUPPRESSANT AND ANTI-TUMORAL AGENTS</b>			
APPLICANT(S) FOR DO/EO/US ROSSI, Carla			
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <p>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.</p> <p>5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).  b. <input type="checkbox"/> has been transmitted by the International Bureau.  c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).  b. <input type="checkbox"/> have been transmitted by the International Bureau.  c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has <b>NOT</b> expired.  d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>			
<p><b>Items 11. To 16. Below concern document(s) or information included:</b></p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.  <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information. Verified statement (Declaration Claiming Small Entity)</p>			

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)  
09/445,218

INTERNATIONAL APPLICATION NO.  
PCT/EP98/03496

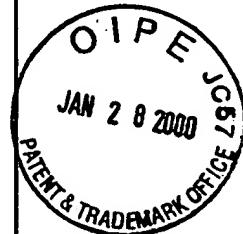
ATTORNEY'S DOCKET NUMBER  
3687-2

17.  The following fees are submitted:

CALCULATIONS PTO USE ONLY

**BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5):**

- Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$970.00
- International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$840.00
- International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$760.00
- International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00
- International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00



**ENTER APPROPRIATE BASIC FEE AMOUNT =**

Surcharge of \$130.00 for furnishing the oath or declaration later than  20  30 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	26	-20 =	6	X \$18.00
Independent Claims	1	-3 =	0	X \$78.00
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+\$260.00	\$ 0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$
Reduction by 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				0.00
<b>SUBTOTAL =</b>				\$
Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ 0.00
<b>TOTAL NATIONAL FEE =</b>				\$
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$ 40.00
Fee for Petition to Revive Unintentionally Abandoned Application (\$1,210 - Small Entity Fee = \$605)				\$ 0.00
<b>TOTAL FEES ENCLOSED =</b>				\$ 40.00
				Amount to be: refunded \$ charged \$

- A check in the amount of \$40.00 to cover the above fees is enclosed.
- Please charge my Deposit Account No. 14-1140 in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this form is enclosed.
- The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed.
- The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this application.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

NIXON & VANDERHYE P.C.  
1100 North Glebe Road, 8th Floor  
Arlington, Virginia 22201  
Telephone: (703) 816-4000

SIGNATURE

Arthur R. Crawford  
NAME

25,327 January 28, 2000  
REGISTRATION NUMBER Date

PCT \$

520 Rec'd PCT/PTO 28 JAN 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

ROSSI, Carla

Serial No. 09/445,218

Filed: December 6, 1999

For: DIPHENYL-TRIAZOLE DERIVATIVES AND THEIR  
USE AS ANTI-GESTATIVE, IMMUNO-  
SUPPRESSANT AND ANTI-TUMORAL AGENTS



Atty. Ref.: 3687-2

Group:

Examiner:

\* \* \* \* \*

January 28, 2000

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**SMALL ENTITY CLAIM AND REFUND REQUEST**

Please accept the Small Entity Verified Statement attached hereto and  
refund one-half of the fee paid on December 6, 2000 in the above-identified case  
by crediting \$539.00 to our account no. 14-1140 under Order No.

3687-2.

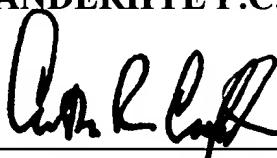
When this is approved, please return a copy of this request marked  
"approved" directly to the undersigned for which purposes this request is  
submitted in triplicate.

**ROSSI, Carla**  
Serial No. 09/445,218

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: \_\_\_\_\_

  
Arthur R. Crawford

Reg. No. 25,327

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